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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/091,193

03/05/2002

H. H. Chen

YOR91999-0420-US2

CONFIRMATION NO. 6354

Date Mailed: 04/19/2002

FORMALITIES LETTER

OC000000007915258

Anne Vachon Dougherty 3173 Cedar Road Yorktown Heights, NY 10598

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

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A copy of this notice MUST be returned with the reply.

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COPY OF PAPERS

Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

JUN 1 7 2002 PATENT AND TRADEMARK OFFICE IN THE UNITED

MADE June 10, 2002

Group Art No.: 2811 H.H. Chen, et al

Serial No. 10/091,193 Examiner: O. Nadav

for IBM Corporation Filed: March 5, 2002 Anne Vachon Dougherty

3173 Cedar Road

Title: SEMICONDUCTOR HIGH Yorktown Heights, NY 10598 DIELECTRIC CONSTANT

DECOUPLING CAPACITOR STRUCTURES AND PROCESS

FOR FABRICATION

In Re Application of



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Substitute Drawings

Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

> Commissioner of Patents Washington, DC 20231

on June 10, 2002.

Anne Vachon Dougherty

Signature of person mailing

YOR919990420-US2